



# Marine Safety Information Bulletin

U.S. Coast Guard  
Sector Upper Mississippi River  
1222 Spruce Street Ste. 7.103  
St. Louis, MO 63103

MSIB Number: 3-25  
Date: December 2, 2025  
Email: [sumrwaterways@uscg.mil](mailto:sumrwaterways@uscg.mil)

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## Marine Event Application Procedures: Sector Upper Mississippi River Area of Responsibility

The Sector Upper Mississippi River Captain of the Port (COTP) advises all stakeholders and organizers of marine events to comply with federal law and regulations regarding marine event applications. These regulations apply to events taking place on the Upper Mississippi River (MM 109-857.5), Illinois River (MM 0-187), and the Missouri River (MM 0-732.3), including the Kaskaskia, Minnesota, and St. Croix Rivers and federally navigable lakes such as the Lake of the Ozarks.

**Application Requirement:** A Marine Event Application (form CG-4423) must be submitted for a “regatta or marine parade,” which is defined in 33 CFR § 100.05 as a “an organized water event of limited duration which is conducted according to a prearranged schedule.” The Coast Guard generally calls these “marine events,” which includes any activity conducted on the navigable waters of the United States that may introduce an **extra or unusual hazard** to vessel traffic, navigation, or public safety.

**Submission Deadlines:** Per 33 CFR 100.15(c), the application must be submitted **at least 135 days** before the start of the proposed event. However, if all of the following criteria are met, the application must be submitted **no less than 60 days** before the start of the proposed event:

- The sponsor submitted an application for the event in the year immediately preceding;
- The nature, location, scheduling, and other relevant information contained in the previous application are essentially the same;
- The Coast Guard received no objection to the previous application;
- The Coast Guard did not promulgate special local regulations for the previous event; *and*
- The Coast Guard approved the previous event.

**Approval Process:** The primary method for submission is via email. Upon receipt of a complete application, Sector personnel will contact the event organizers to review the event details. Failure to submit applications in accordance with the above submission deadlines may result in postponement or disapproval.

**Civil Penalties:** Event sponsors and participants who disregard the COTP’s direction may be subject to civil penalties. Events conducted in a manner that is not in accordance with applicable law or regulation, including those held without prior approval or after the COTP has disapproved the activity, may result in civil penalties under 46 U.S.C. § 70041(d).

**Post-Submission:** Once an application is received, Sector personnel will contact the event organizers to review event details.

**Reporting and Contacts:** Submit applications or direct questions to Sector Upper Mississippi River Waterways Management Division at (314) 269-2500 or email [sumrwaterways@uscg.mil](mailto:sumrwaterways@uscg.mil).

*- This release has been issued for public information and notification purposes only-*

DEPARTMENT OF HOMELAND SECURITY  
U.S. COAST GUARD  
**APPLICATION FOR MARINE EVENT**

OMB Number: 1625-0008  
Expires: 11/30/2024

**Date Submitted:**

**FORM INSTRUCTIONS**

1. Please, complete on a computer, a typewriter, or print in black ink to permit reproduction. You may also **submit online at:** <https://homeport.uscg.mil>.
2. This application must reach the appropriate USCG Sector at least 135 days prior to the event. A list of **sectors may be found here:** <https://homeport.uscg.mil/Pages/sector-directory.aspx>.
3. Attach a section of a chart or a scale drawing showing boundaries and/or courses and markers contemplated.
4. Submit a copy of your entry requirements and any special rules pertaining to equipment, rigs, or procedures.

1. Name of Event		2. Date of Event	
3. Location of Event		4. Time: From: _____ To: _____	
5. Name and Address of Sponsoring Organization <i>(Include Zip Code)</i>		6. No. of Participants	7. Sizes of Boats
8. Types of Boats			9. No. of Spectator Craft
10. Description of Events			
11. Will This Event Interfere or Impede the Natural Flow of Traffic? <input type="checkbox"/> Yes <input type="checkbox"/> No			
11a. If YES, briefly explain:			
12. What Extra or Unusual Hazard <i>(to participants or non-participants)</i> Will Be Introduced Into the Regatta Area?			

13. Have any Objections Been Received from Other Interested Parties? <input type="checkbox"/> Yes <input type="checkbox"/> No	
13a. If YES, briefly explain:	
14. Vessels Provided by Sponsoring Organization for Safety Purposes ( <i>number and description</i> )	
15. Does the Sponsoring Organization Deem their Patrol Adequate for Safety Purposes? <input type="checkbox"/> Yes <input type="checkbox"/> No	
15a. If NO, briefly explain:	
16. Is a Coast Guard or Coast Guard Auxiliary Patrol Requested for Control of Spectator and/or Commercial Traffic? <input type="checkbox"/> Yes <input type="checkbox"/> No	
16a. If YES, how many vessels do you recommend and why?	
17. Person In Charge	18. Where Will 'Person In Charge' be During the Event?
19. How Can 'Person In Charge' be Contacted During the Event?	
20. Person to be Contacted for Further Details ( <i>Name, Address, Zip Code</i> )	20a. Area Code and Phone No.:
	20b. Email Address:
<b>The undersigned has full authority to represent the sponsoring organization.</b>	
21. Name:	22. Title:
23. Address ( <i>Include Zip Code</i> )	23a. Area Code and Phone No.:
	23b. Email Address:
24. Signature:	
<b>Privacy Act Statement</b>	
<p>Pursuant to 5 U.S.C. §552a(e)(3), this Privacy Act Statement serves to inform you of why DHS is requesting the information on this form.</p> <p><b>AUTHORITY:</b> 14 U.S.C. § 70041 authorizes the collection of this information.</p> <p><b>PURPOSE:</b> The Coast Guard will use this information to determine whether a marine event poses an extra or unusual hazard to the safety of life and whether or not, and under which conditions, to permit the event on the navigable waters of the United States.</p> <p><b>ROUTINE USES:</b> Authorized USCG personnel will use this information to evaluate the marine event request. Any external disclosures of information within this record will be made in accordance with DHS/USCG-013, Marine Information for Safety and Law Enforcement (MISLE), 74 Federal Register 30305 (June 25, 2009).</p> <p><b>CONSEQUENCES OF FAILURE TO PROVIDE INFORMATION:</b> Furnishing this information is strictly voluntary. However, failure to provide this information may delay or prevent the approval of the marine event.</p>	
<p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The Coast Guard estimates that the average burden for this report is 60 minutes. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: United States Coast Guard, Commandant (CG-WWM-1) Stop 7509, 2703 Martin Luther King Jr. Ave SE, Washington, DC, 20593-7509 or Office of Management and Budget, Paperwork Reduction Project (1625-0008), Washington, DC 20593.</p>	